this correspondence is being I hereby certify th.

20. 20,199GOPY FOR CONTINUING APPLICATION hand delivered to: Assistant Commissioner for Patents, Washington, D.C. 20231,

Attorney Docket No. 16528x-000126US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

STEPHEN P.A. FODOR et al.

Serial No.: 08/466,632

Filed: June 6, 1995

For: ARRAYS OF MATERIALS ATTACHED TO A SUBSTRATE (as)

amended)

Assistant Commissioner for Patents

20231 Washington, D.C.

sir:

Art Unit: 1807

STATEMENT OF FACTS IN SUPPORT PETITION TO CORRECT ENTORSHIP UNDER

Examiner: P. Tran

In support of the Petition to Co under 37 CFR 1.48(a), (b), and (c) the undersigned submits the following statement of facts; as verified by the originally name inventors and the newly named inventors of the above-captioned patent application:

## STATEMENT OF FACTS

- I, Vern Norviel, state as follows:
- I am currently a registered patent attorney employed with Affymetrix, Inc., 3380 Central Expressway, Santa Clara, California 95051.
- The above-captioned application was filed on June 6, 1995, as a Rule 60 Division Application of U.S. Patent Application Serial No. 08/390,272. Claims 3-56 were cancelled pursuant to a preliminary amendment filed concurrently with the The application, as filed, listed as inventors: application.

Stephen P.A. Fodor;

Lubert Stryer;

James L. Winkler;

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STEPHEN P.A. FODOR et al. Serial No.: 08/466,632 Page 2

Christopher P. Holmes; and Dennis W. Solas.

Based upon the remaining claims, this inventorship was believed to be correct.

- 3. In a first Supplemental Preliminary Amendment, mailed November 3, 1995, claims 1 and 2 were canceled and new claims 57-62 were presented. In a second Supplemental Preliminary Amendment mailed May 31, 1996, claims 57-62 were canceled and new claims 63-77 were presented. The subject matter of these new claims was disclosed, but not claimed in the application as filed. An amendment submitted July 23, 1997, added new claims 80-91. The subject matter of these new claims was disclosed, but not claimed in the application as filed.
- 4. Based upon the added claims remaining in the application, it has been determined that the inventorship of the application, as currently listed, is incorrect. In particular, the cancellation of claims resulted in the inventions of James L. Winkler, Christopher P. Holmes and Dennis W. Solas no longer being claimed. Further, the addition of claims directed to subject matter that had been disclosed but not previously claimed in this application, as filed, necessitated the addition of new inventors Michael C. Pirrung and J. Leighton Read.
- 5. To the extent that there is an error in the inventorship of the present application, it occurred inadvertently and without deceptive intent.
- of my own knowledge are true and that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under § 1001 of Title 18 of the United

PATENT

STEPHEN P.A. FODOR et al. Serial No.: 08/466,632 Page 3

States Code, and that such willful false statement may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

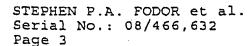
Vern Norviel
Reg. No.32,483

We, the originally named inventors on U.S. Patent Application Serial No. 08/466,632, have reviewed the above Statement of Facts, and to the best of our knowledge, it is true and correct. This statement is made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under \$ 1001 of Title 18 of the United States Code, and that such willful false statement may jeopardize the validity of the application or any patent issuing thereon.

Dated: 19 ortone 1997	* SAN PA-AL
October 10, 1997	Stephen F. A. Fodor
Dated: 10/20/97	James Coul
Dated: Oct 15 1997	James L. Winkler
Dated:	Christopher P. Holmes

We, the newly named inventors in U.S. Patent Application Serial No. 08/466,632, have reviewed the above Statement of Facts, and to the best of our knowledge, it is trand correct. This statement is made with the knowledge that willful false statements and the like so made are punishable befine or imprisonment, or both under § 1001 of Title 18 of the United States Code, and that such willful false statement may

Dennis W. Solas



States Code, and that such willful false statement may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

Vern Norviel Reg. No.32,483

We, the originally named inventors on U.S. Patent Application Serial No. 08/466,632, have reviewed the above Statement of Facts, and to the best of our knowledge, it is true and correct. This statement is made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under § 1001 of Title 18 of the United States Code, and that such willful false statement may jeopardize the validity of the application or any patent issuing thereon.

Dated:	•	
		Stephen P. A. Fodor
Dated:		
	Lubert Stryer	
Dated:	<u> </u>	•
<u></u>		James L. Winkler
Dated:	· · · · · · · · · · · · · · · · · · ·	
	mi11/01	Christopher P. Holmes Wennes W. Solan
	10/18/97	Mennis W. John
	· ·	The Marian of the Control of the Con

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STEPHEN P.A. FODOR et al. Serial No.: 08/466,632 Page 4

jeopardize the validity of the application or any patent issuing

10/15/97

thereon.

. Land to be post of the Colores

Dated:

Michael C. Pirrung

Affymetrix, Inc. 3380 Central Expressway Santa Clara, CA 95051 Phone: (408) 731-5991 Fax: (408) 481-4709



STEPHEN P.A. FODOR et al. Serial No.: 08/466,632 Page 4

jeopardize the validity of the application or any patent issuing thereon.

Dated:\_\_

10/3//97

Affymetrix, Inc. 3380 Central Expressway Santa Clara, CA 95051 Phone: (408) 731-5991 (408) 481-4709 Fax:

## COPY FOR CONTINUING APPLICATION

I hereby certify hand delivered to: Assistant Commissioner for Patents, Washington, D.C. 20231,

PATENT

Attorney Docket No. 16528X-000120

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

STEPHEN P.A. FODOR et al.

Application No.: 08/466,632

Filed: June 6, 1995

For: ARRAYS OF MATERIALS

ATTACHED TO A SUBSTRATE (as)

amended)

Examiner: Unassigned

Art Unit: 1807

ASSIGNEE TO CONSE CORREC UNDER 37 C.

DEC TELLUOT

Assistant Commissioner for Patents Washington, D.C. 20231

sir:

Affymetrix, Inc., of Santa Clara, California, the assignee of the above-identified patent application filed in the name of Stephen P.A. Fodor et al., hereby consents to the addition of Michael C. Pirrung and J. Leighton Read as named co-

inventors and to the deletion of James L. Winkler, Christopher F Holmes, and Dennis W. Solas as named co-inventors in said patent application.

BY

Date:

AFFYMETRIXA INC

Vern Norviel Name:

Title: Vice President and

General Counsel

16528\1\1-2\1-2-6CNS .ASN

t hereby certify this correspondenCOPY FOR CONTINUING APPLICATION

hand delivered: Assistant Commissioner for Patents, Washington, D.C. 20231,

PATEN'

Attorney Docket No. 16528X-00012

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

STEPHEN P. A. FODOR et al.

Application No.: 08/466,632

Filed: June 6, 1995

For: ARRAYS OF MATERIALS ATTACHED TO A SUBSTRATE (as amended)

Examiner: P. Tran

Art Unit: 1807

PETITION TO CORRECT INVENTORS 1.48(

Assistant Commissioner for Patents Washington, D.C. 20231

Applicants hereby petition to correct the inventorship sir: of the above-captioned application under 37 CFR 1.48 (a), (b), an

The present application is a Rule 60 division of (c). U.S.S.N. 08/390,272, and currently names as inventors Stephen P.A. Fodor, Lubert Stryer, James L. Winkler, Christopher P. Holmes and Dennis W. Solas. Applicants wish to delete James L. Winkler, Christopher P. Holmes and Dennis W. Solas as named inventors under 37 CFR 1.48(b). The deletion of these inventor is necessitated by the cancellation of claims in the applicatio as filed, which resulted in the deleted persons no longer being inventors of the invention being claimed.

In addition, Applicants wish to add as inventors Michael C. Pirrung and J. Leighton Read under 37 CFR 1.48(a) and These inventors are not listed as inventors on the preser application through error and without deceptive intent. In particular, in a preliminary amendment mailed May 31, 1996,

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STEPHEN P. A. FODOR et al. Application No.: 08/466,632 Page 2

Applicants presented new claims 63-77. Further, in an amendment filed July 23, 1997, Applicants presented new claims 80-91 (claims 78 and 79 were presented in the response to the Office Action mailed August 21, 1996, which response was hand delivered on September 23, 1996). Based upon the new claims remaining in the application, it has been determined that the correct inventorship for the present application should be:

Stephen P. A. Fodor; Lubert Stryer; Michael C. Pirrung; and J. Leighton Read.

Accordingly, Applicants respectfully request that inventors Pirrung and Read be added to the instant application and that James L. Winkler, Christopher P. Holmes, and Dennis W. Applicants' submit herewith: Solas be deleted.

- A Statement of Facts verified by the origina. (1)named inventors;
  - A declaration by each actual inventor; (2)
  - The written consent of the Assignee of (3)

record; and

A Supplemental Amendment correcting the list (4) of inventors on the cover sheet.

Applicants hereby authorize the charging of the petition fees under 37 CFR 1.17(h) of \$260.00 to Deposit Account No. 01-0431.

Respectfully submitted.

Vern Norviel Reg. No. 32,483

Affymetrix, Inc. 3380 Central Expressway Santa Clara, CA 95051 (408) 731-5991 Phone: 481-4709 (408)Fax: . 16528\1\1-2\1-2-6inv.per